



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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62-3-af

In re application of: Robert Miller et al. : Date: January 26, 2004  
Group Art Unit: 2185 : IBM Corporation  
Examiner: Unassigned : Intellectual Property Law  
Serial No.: 09/920,470 : Dept. 917, Bldg. 006-1  
Filed: August 1, 2001 : 3605 Highway 52 North  
Title: FORWARDING OF DIAGNOSTIC : Rochester, MN 55901  
MESSAGES IN A GROUP

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FEB 02 2004  
TC 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 09/920,470  
Docket No. ROC920000311US1

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
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January 26, 2004

(Date of Deposit)

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Respectfully submitted,

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.: 09/920,470  
 Filing Date: August 1, 2001  
 First Named Inventor: Robert Miller  
 Art Unit: 2185  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920000311US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	<u>Document Number</u> Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 2003/015084 A1	08-21-2003	Murphy et al.	
		US - 5,704,031	12-30-1997	Mikami et al.	
		US - 5,748,883	05-05-1998	Carlson et al.	
		US - 5,991,518	11-23-1999	Jardine et al.	
		US - 6,108,699	08-22-2000	Mojin	
		US - 6,314,526 B1	11-06-2001	Arendt et al.	
		US - 6,438,705 B1	08-20-2002	Chao et al.	
		US - 6,442,713 B1	08-27-2002	Block et al.	
		US - 6,460,149 B1	10-01-2002	Rowlands et al.	
		US -			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	<u>Foreign Patent Document</u> Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.